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1. COMPLIANCE ACTIVITY INFORMATION					
ID	ELEMENT	EDIT CRITERIA / CODES	LENGTH	TYPE	INFORMATION DESCRIPTION
1	Facility ID Number	2 AN county 3 AN jurisdiction 6 AN facility number	11	AN	Number to allow cross linking of data. County and jurisdiction number from tax code list. This number is assigned by the CUPA. This is the unique number which identifies the facility.
2	EPA ID Number	12 digit identifier beginning with CA	12	AN	EPA identification number for businesses that generate, recycle, or treat hazardous waste. For facilities in California, the number should start with the letters CA. If the handler is regulated under Federal RCRA requirements, this ID must be the U.S. EPA identification number.
3	Business Name	Postal standard: 2 lines, 35 character	70	AN	Full legal name of business.
900	RCRA Large Quantity Generator (LQG) of Hazardous Waste	Y or N	1	AN	Indicates if facility generates ≥ 1000 kg of RCRA hazardous waste in a calendar month. Identification is based on the business' notification of LQG activity to U.S. EPA. If the designation is incorrect, the CUPA cannot change the designation unless the business notifies U.S. EPA.
901	Generator of Solely California Hazardous Waste	Y or N	1	AN	Indicates if facility generates solely California hazardous waste and does not generate any RCRA waste.
902	CalARP Program: Stationary Source	Y or N	1	AN	Indicates if facility is a stationary source as defined by the CalARP program.
903	CalARP Program: Multiple Stationary Sources	Y or N	1	AN	Indicates if business operates multiple locations in this CUPA jurisdiction that are stationary sources as defined by the CalARP program.
904	CalARP Program: RMP Waiver Determination	Y or N	1	AN	Indicates if the CUPA has waived the requirement for a Risk Management Plan for this stationary source (a RMP waiver).

2. INSPECTION INFORMATION (one record for each facility for each program element and inspection date)					
ID	ELEMENT	EDIT CRITERIA / CODES	LENGTH	TYPE	INFORMATION DESCRIPTION
1	Facility ID Number	2 AN county 3 AN jurisdiction 6 AN facility number	11	AN	Number to allow cross linking of data. County and jurisdiction number from tax code list. This number is assigned by the CUPA. This is the unique number which identifies the facility.
3	Business Name	Postal standard: 2 lines, 35 characters	70	AN	Full legal name of business.
905	Program Element	a = Hazardous Materials Release Response Plans (HMRRP) b = California Accidental Release Prevention (CalARP) c = Underground Storage Tank (UST) d = Spill Prevention Control and Countermeasures (SPCC) / Aboveground Storage Tank e = Hazardous Waste Generator f = Hazardous Waste Large Quantity Generator (LQG) (subset of Hazardous Waste Generator) g = Hazardous Waste Recycler h = Permit by Rule (PBR) i = Conditionally Authorized (CA) (only available if PBR is not used) j = Conditionally Exempt (CE) (only available if PBR and CA are not used) k = Household Hazardous Waste (HHW)	1	AN	Program element inspected. Enter one program element only. For example, a facility inspected for compliance with UST, hazardous waste and hazardous materials storage regulations would have three records, one for each program element. Each record would have a unique set of violations, even though all inspections took place on the same date. For Tiered Permitting options enter the highest tier.
906	Inspection Date	YYYYMMDD	8	D	Date of completion of inspection.
907a	Inspection Type = Routine Inspection	Y or N	1	AN	Indicates if inspection is routine. A routine inspection is a regularly scheduled inspection to evaluate compliance. Does not include follow-up inspections.
907b	Inspection Type = Other	Y or N	1	AN	Indicates if inspection is not a routine inspection. Other inspections include complaint investigations, closure, release investigations, tank installation and/or removal oversight, tank cleaning, and follow-up enforcement inspections, or other inspections that may be in addition to a regularly scheduled inspection. This includes verification inspections for owners/operators of aboveground storage tanks having to prepare a spill prevention control and countermeasure plan. It does not include regularly scheduled inspections, field or site visits whose principle purpose is informational or educational, pollution prevention education, or visits needed to verify administrative information or orient new owners or operators. A complaint inspection is a service request originating from any

2. INSPECTION INFORMATION (one record for each facility for each program element and inspection date)					
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					outside party, including the public, that initiates a site visit outside of the routine inspection cycle.
908	CalARP Audit	Y or N	1	AN	Indicates if site visit is an audit of a CalARP Risk Management Plan for a stationary source.
909a	Inspection Category = Single Program	Y or N	1	AN	Indicates if inspection is a single program inspection. Inspectors perform single program inspections alone. If inspection is a single program inspection, do not enter codes for any other inspection category.
909b	Inspection Category = Combined Routine Inspection	Y or N	1	AN	Indicates if inspection is a combined routine inspection. Combined routine inspections are regularly scheduled inspections to evaluate compliance conducted by one inspector for more than one program element. This does not include other inspections performed outside the routine inspection cycle.
909c	Inspection Category = Joint Inspection	Y or N	1	AN	Indicates if inspection is a joint inspection. Joint inspections may be routine or other inspections. Joint inspections are conducted by more than one inspector from different Unified Program agencies within a CUPA, for more than one program element.
909d	Inspection Category = Integrated or Multi-media Inspections	Y or N	1	AN	Indicates if inspection is integrated or multi-media. Integrated or multi-media inspections may be routine or other inspections. Integrated inspections are conducted by one or more inspectors for the Unified Program and other programs not in the Unified Program. Multi-media inspections are conducted by one or more inspectors for more than one medium, such as air, water, or soil.
910	Number of Class I Violations		2	N	For hazardous waste generators, number of Class I violations. A Class I violation means a deviation that represents a significant threat to human health or safety or the environment because of the volume of the waste, the relative hazardousness of the waste, or the proximity of the population at risk. The deviation must be significant enough that it could result in releases of hazardous waste or constituents to the environment, hazardous waste failing to be delivered to an authorized hazardous waste facility, failure to detect releases of hazardous waste or constituents, inadequate financial resources in the case of releases of hazardous waste or constituents, or inadequate financial resources to pay for facility closure, perform emergency cleanup operations or other corrective actions. A Class I violation is also a deviation that is a chronic violation or committed by a recalcitrant violator. A Class I violation is typically one that is referred to the District Attorney

2. INSPECTION INFORMATION (one record for each facility for each program element and inspection date)					
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					or City Attorney for formal enforcement action. Sanctions are typically imposed for failure to correct the violation. Class I violations are defined in the Health and Safety Code (HSC) section 25110.8.5.
911	Number of Class II Violations		2	N	For hazardous waste generators, number of Class II violations. A Class II violation means a deviation that is not a Class I violation. This count includes violations which would be considered minor, but are knowing, willful, or intentional, or enable the violator to benefit economically from noncompliance, either by reduced costs or competitive advantage. Do not include minor violations in this count. Class II violations are defined in 22 California Code of Regulations (CCR) 66260.10.
912	Number of Minor Violations		2	N	For hazardous waste generators, number of minor violations. A minor violation means a deviation from any regulation, standard, requirement, or permit condition, that is not a Class I violation. Exclude from this count all violations where the violation is knowing, willful, or intentional, or enables the violator to benefit economically from noncompliance, either by reduced costs or competitive advantage. These are counted as Class II violations. Also exclude any violation that is a chronic violation or that is committed by a recalcitrant violator, since these are counted as Class I violations. A minor violation is defined in HSC 25117.6.
913	Number of Other Violations		2	N	For non-hazardous waste program elements, number of other violations. Other violations are those that are not hazardous waste violations.

3. ENFORCEMENT INFORMATION (one record for each facility for each program element and enforcement action)					
ID	ELEMENT	EDIT CRITERIA / CODES	LENGTH	TYPE	INFORMATION DESCRIPTION
1	Facility ID Number	2 AN county 3 AN jurisdiction 6 AN facility number	11	AN	Number to allow cross linking of data. County and jurisdiction number from tax code list. This number is assigned by the CUPA. This is the unique number which identifies the facility.
3	Business Name	Postal standard: 2 lines, 35 characters	70	AN	Full legal name of business.
902	Program Element	a = Hazardous Materials Release Response Plans (HMRRP) b = California Accidental Release Prevention (CalARP) c = Underground Storage Tank (UST) d = Spill Prevention Control and Countermeasures (SPCC) / Aboveground Storage Tank e = Hazardous Waste Generator f = Hazardous Waste Large Quantity Generator (LQG) (subset of Hazardous Waste Generator) g = Hazardous Waste Recycler h = Permit by Rule (PBR) i = Conditionally Authorized (CA) (only available if PBR is not used) j = Conditionally Exempt (CE) (only available if PBR and CA are not used) k = Household Hazardous Waste (HHW) - Fixed	2	AN	Program element inspected. Enter one program element only. For example, a facility inspected for compliance with UST, hazardous waste and hazardous materials storage regulations would have three records, one for each program element. Each record would have a unique set of violations, even though all inspections took place on the same date. For Tiered Permitting options enter the highest tier.
903	Inspection Date	YYYYMMDD	8	D	Date of completion of inspection.
914	Type of Enforcement Action	a = Informal action b = Referral to State agency c = Formal order d = Referral to Attorney General or District Attorney	1	AN	Type of enforcement action. Informal actions are actions that are not formal actions. An informal enforcement action notifies the business of non-compliance and establishes a date by which the non-compliance is to be corrected. Informal actions are made by a written document including, but not limited to, a letter or notice of violation. Informal actions do not convey sanctions. A formal order is an enforceable order or agreement which mandates compliance. Examples include administrative orders and referrals for civil and/or criminal actions. Sanctions are imposed for failure to comply. If more than one enforcement action is taken, the type and date of each action should be recorded.
915	Date of Enforcement Action	YYYYMMDD	8	D	Date the enforcement action is taken. The date of enforcement action is the date the order is sent to the business, a final referral is made to the Attorney General, District Attorney or County Attorney, the complaint is filed with the court, or the date the administrative order is issued. If more than one enforcement action is taken, the type and date of

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ID	ELEMENT	EDIT CRITERIA / CODES	LENGTH	TYPE	INFORMATION DESCRIPTION
					each action should be recorded.
916	Type of Formal Enforcement Action	a = Administrative b = Civil c = Criminal	1	AN	Type of formal enforcement action.
917	Date Returned to Compliance	YYYYMMDD	8	D	Date physical compliance was determined by the CUPA for all violations identified during the inspection. This may not be based on a site visit, but is the date compliance was verified. It may be based on correspondence received from the regulated business.
918	Docket Number	.	13	AN	Number assigned by the court for civil and criminal actions.
919	Final Fine or Penalty Assessed		8	AN	Dollar amount of fine or penalty assessed. This is the final monetary penalty or fine assessed via court or administrative order, or the amount agreed upon in a formal legal settlement. It is based on the value of fines / penalties excluding costs. Round to nearest whole number. Do not use decimal places. Note the fine or penalty is by program element for each enforcement action at each facility, when available.
920	Fine or Penalty Collected		8	AN	Dollar amount of final fine or penalty actually collected by the CUPA. Round to nearest whole number. Do not use decimal places.
921	Date Fine or Penalty Collected	YYYYMMDD	8	D	Date when the final fine or penalty was completely collected.

4. TIERED PERMITTING RELEASE INFORMATION					
ID	ELEMENT	EDIT CRITERIA / CODES	LENGTH	TYPE	INFORMATION DESCRIPTION
1	Facility ID Number	2 AN county 3 AN jurisdiction 6 AN facility number	11	AN	Number to allow cross linking of data. County and jurisdiction number from tax code list. This number is assigned by the CUPA. This is the unique number which identifies the facility.
3	Business Name	Postal standard: 2 lines, 35 characters	70	AN	Full legal name of business.
922	Date of Release	YYYYMMDD	8	D	Date of release at a facility treating hazardous waste onsite under PBR, CA, or CE. A reportable release is from any activity at the facility, not just from one of the Tiered Permitting units.
923	Description of Release and Consequences	Narrative	200	AN	Description of the circumstances of the release and actions subsequently taken.
924	Date Corrective Action Completed	YYYYMMDD	8	D	Date corrective action was completed. If no corrective action was needed, then leave blank.

5. HOUSEHOLD HAZARDOUS WASTE INFORMATION (reserved)					
ID	ELEMENT	EDIT CRITERIA / CODES	LENGTH	TYPE	INFORMATION DESCRIPTION